



CERTIFICATE OF MAILING
37 C.F.R. § 1.8

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October 24, 2003
Date

Mary Paul
Signature

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:
TOM L. PIERSON
JOHN DAVID PENTON

Serial No.: 10/616,074

Filed: July 9, 2003

For: ADVANCED RECOVERY AND
ENERGY CONVERSION SYSTEMS FOR
POWER GENERATION AND
POLLUTION EMISSIONS REDUCTION,
AND METHODS OF USING SAME

Group Art Unit: 3748

Examiner: Unknown

Atty. Dkt. No.: 2106.000200

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the documents listed on attached Form PTO-1449 be considered by the Examiner and made of record.

In accordance with 37 C.F.R §§ 1.97(g),(h), this Information Disclosure Statement is not to be construed as a representation that a search has been made, and is not to be construed to be

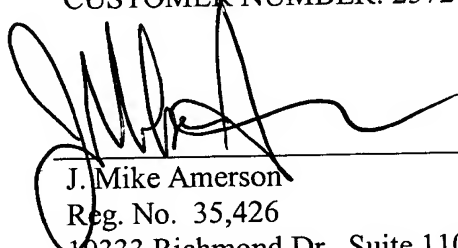
an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

The present Information Disclosure Statement is being filed within prior to the receipt of a first Official Action reflecting an examination on the merits, and hence is believed to be timely filed in accordance with 37 C.F.R. § 1.97(b). No fees are believed to be due in connection with the filing of this Information Disclosure Statement; however, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to these materials, the Director is hereby authorized to deduct said fees from Williams, Morgan & Amerson, P.C., Deposit Account No. 50-0786/2106.000200.

Applicants respectfully request that the listed documents be made of record in the present case.

Respectfully submitted,

WILLIAMS, MORGAN & AMERSON
CUSTOMER NUMBER: 23720

A handwritten signature in black ink, appearing to read "J. Mike Amerson", is written over a horizontal line.

Date: October 24, 2003

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Form PTO-1449 (modified)

Atty. Docket No.

2106.000200

Serial No.

10/616,074

List of Patents and Publications for Applicant's

Applicant

Tom L. Pierson and John David Penton

INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

Filing Date:

July 9, 2003

Group:

3748

U.S. Patent Documents

See Page 1

Foreign Patent Documents

N/A

Other Art

N/A

U.S. Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date of App.
	A1	4,586,340	5/06/86	Kalina	60	673	
	A2	4,604,867	8/12/86	Kalina	60	653	
	A3	4,732,005	3/22/88	Kalina	60	673	
	A4	4,899,545	2/13/90	Kalina	60	673	
	A5	5,029,444	7/09/91	Kalina	60	673	
	A6	5,095,708	3/17/92	Kalina	60	673	
	A7	5,440,882	8/15/95	Kalina	60	641.2	
	A8	5,557,936	9/24/96	Drnevich	60	694	
	A9	5,572,871	11/12/96	Kalina	60	649	
	A10	6,058,695	5/09/00	Ranasinghe <i>et al.</i>	60	39.182	
	A11	6,195,997 B1	3/06/01	Lewis <i>et al.</i>	60	648	
	A12	6,269,644 B1	8/07/01	Erickson <i>et al.</i>	60	649	
	A13	6,318,065 B1	11/20/01	Pierson	60	39.02	
	A14	6,321,552 B1	11/27/01	Frederiksen	62	238.3	
	A15	6,347,520 B1	2/19/02	Ranasinghe <i>et al.</i>	60	649	
	A16	6,470,686 B2	10/29/02	Pierson	60	772	
	A17	6,571,548 B1	6/03/03	Bronicki <i>et al.</i>	60	39.02	
	A18	6,615,585 B2	9/09/03	Tsuji	60	728	1/25/02
	A19	2002/0017095 A1	2/14/02	Pierson	60	39.02	9/24/01

EXAMINER:

DATE CONSIDERED:

EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

INFORMATION DISCLOSURE STATEMENT — PTO-1449 (MODIFIED)